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UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA

SECURITIES AND EXCHANGE
COMMISSION,

Plaintiff,

v.

BRIAN LAM; NINESQUARE CAPITAL
PARTNERS LLC; NATHAN NHAN
NGUYEN; and NGUYEN GROUP LLC,

Defendants,

and

YI PING LU; and THY STACY NGUYEN

Relief Defendants.

Case No. 2:22-cv-06831-MEMF-E

**JUDGMENT AS TO RELIEF DEFENDANT
YI PING LU [ECF NO. 30]**

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1 The Securities and Exchange Commission having filed a Complaint and Relief Defendant Yi
2 Ping Lu (“Relief Defendant”) having entered a general appearance; consented to the Court’s
3 jurisdiction over Relief Defendant and the subject matter of this action; consented to entry of this
4 Judgment without admitting or denying the allegations of the Complaint (except as to jurisdiction
5 and except as otherwise provided herein in paragraph II); waived findings of fact and conclusions of
6 law; and waived any right to appeal from this Judgment:

7 I.

8 IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Relief Defendant shall pay
9 disgorgement of ill-gotten gains and prejudgment interest thereon. The Court shall determine the
10 amounts of the disgorgement upon motion of the Commission. Prejudgment interest shall be
11 calculated from March 1, 2020, based on the rate of interest used by the Internal Revenue Service for
12 the underpayment of federal income tax as set forth in 26 U.S.C. § 6621(a)(2). In connection with
13 the Commission’s motion for disgorgement, and at any hearing held on such a motion: (a) Relief
14 Defendant will be precluded from arguing that she did not violate the federal securities laws as
15 alleged in the Complaint; (b) Relief Defendant may not challenge the validity of the Consent or this
16 Judgment; (c) solely for the purposes of such motion, the allegations of the Complaint shall be
17 accepted as and deemed true by the Court; and (d) the Court may determine the issues raised in the
18 motion on the basis of affidavits, declarations, excerpts of sworn deposition or investigative
19 testimony, and documentary evidence, without regard to the standards for summary judgment
20 contained in Rule 56(c) of the Federal Rules of Civil Procedure. In connection with the
21 Commission’s motion for disgorgement, the parties may take discovery, including discovery from
22 appropriate non-parties.

23 II.

24 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, solely for purposes of
25 exceptions to discharge set forth in Section 523 of the Bankruptcy Code, 11 U.S.C. § 523, the
26 allegations in the complaint are true and admitted by Relief Defendant, and further, any debt for
27 disgorgement or prejudgment interest due by Relief Defendant under this Judgment or any other
28 judgment, order, consent order, decree or settlement agreement entered in connection with this

1 proceeding, is a debt for the violation by Relief Defendant of the federal securities laws or any
2 regulation or order issued under such laws, as set forth in Section 523(a)(19) of the Bankruptcy
3 Code, 11 U.S.C. § 523(a)(19).

4 III.

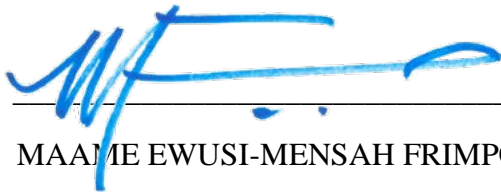
5 IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court shall retain
6 jurisdiction of this matter for the purposes of enforcing the terms of this Judgment.

7 IV.

8 There being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of Civil
9 Procedure, the Clerk is ordered to enter this Judgment forthwith and without further notice.

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11 IT IS SO ORDERED.

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13 Dated: March 21, 2023

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15 MAAME EWUSI-MENSAH FRIMPONG
16 United States District Judge
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